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| International Application Number:    |   |  |  |  |
| Confirmation Number:                 | 3618  |  |  |  |
| Title of Invention:                  | METHOD AND APPARATUS FOR ENABLING INDIVIDUAL OR SMALLER INVESTORS OR OTHERS TO CREATE AND MANAGE A PORTFOLIO OF SECURITIES OR OTHER ASSETS OR LIABILITIES ON A COST EFFECTIVE BASIS |  |  |  |
| First Named Inventor/Applicant Name: | Steven M.H. Wallman   |  |  |  |
| Correspondence Address:              | Bradley J. Meier KENYON & KENYON  Suite #700  1500 K Street, N.W.  Washington DC 20005  US  |  |  |  |
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| 1 Foreign Reference   | Foreign Reference   | SatoshiJPP300machinetranslati  | 686916   | no                              | 15                   |
|   | on.pdf  | 24ca663fa72dec944c252a49fe342cfc87d<br>a7e   |  | 15                              |                      |
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| 2 Information Disclosure<br>Filed (SB/0   | Information Disclosure Statement (IDS)  | 1449-02.pdf  | 814738   | no                              | 5                    |
|   | Filed (SB/08)   | 1445 02.pd1  | edb6830sccdbaeb642819eb1f34089940b<br>369d5  |                                 |                      |
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-[d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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## New International Application Filed with the USPTO as a Receiving Office

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